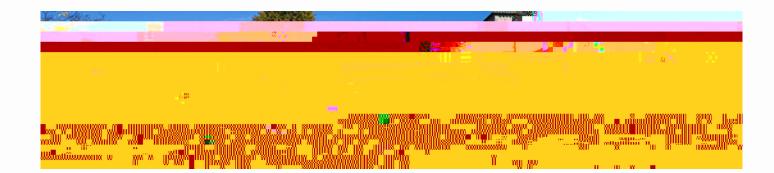


Responsible Conduct of Research : Brief Introduction



Research Integrity

strive for the best research practices honestl ¼ accountably, openly and fairl ¼

Jeerve

report suspected instances of misconduct



Financial Responsibility

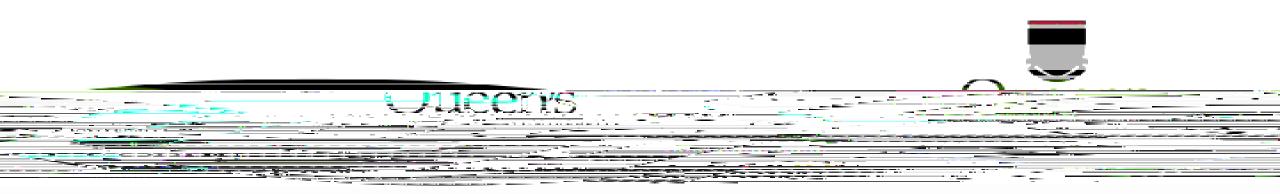
responsibility and accountability for managing research monies and resources

Research Ethics

principles that balance the protection of research participants with the legitimate goals of the research enterprise.

Animal Care

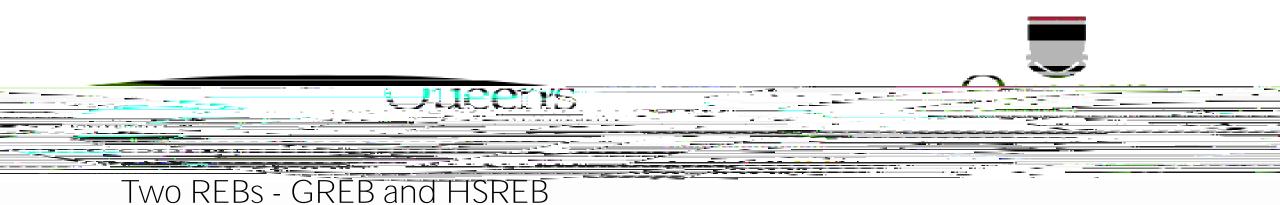
ground rules and basic requirements for oversight of animal care and use



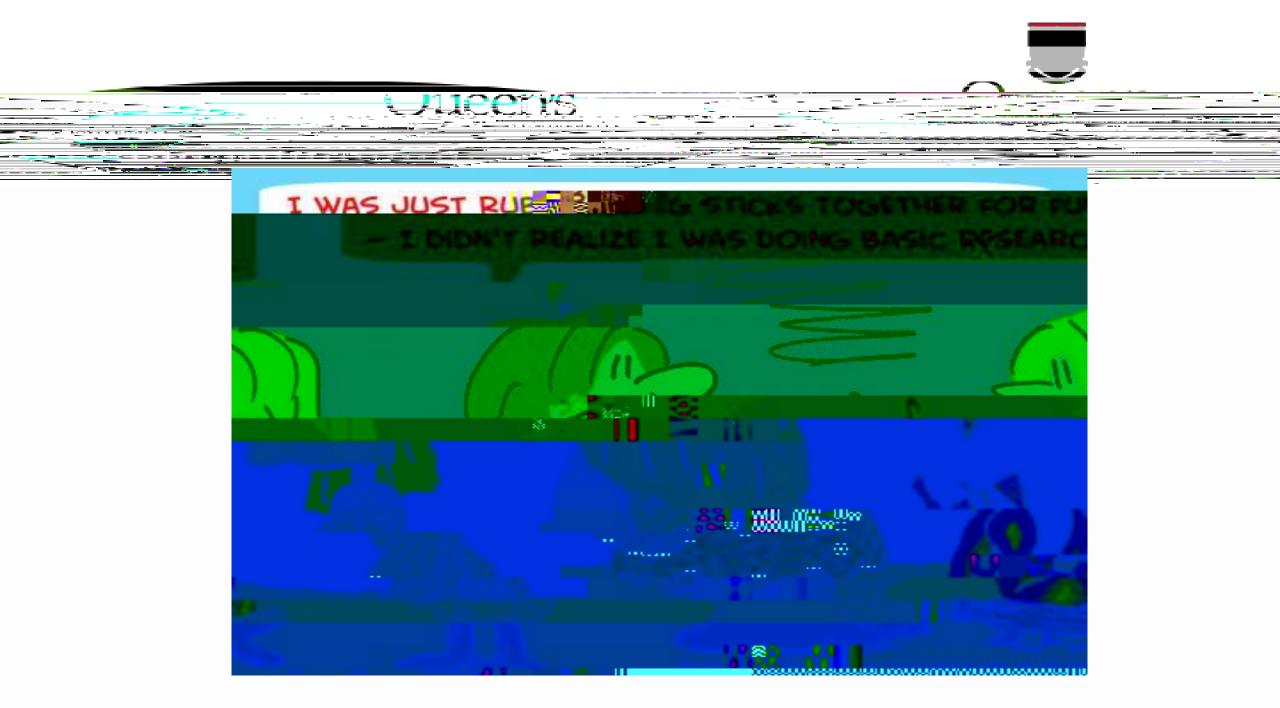
Ethics Considerations for Educational Human Participant Research at Queen's University

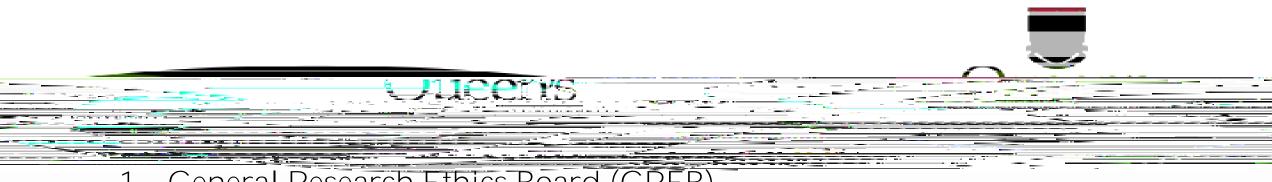
Queen s Universit¹/₄H ealth Sciences and A ffiliated Teaching Hospitals Research Ethics Board (HSREB)

October 5, 2016



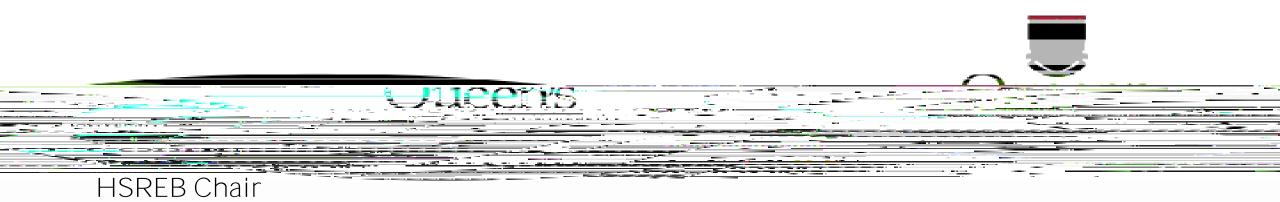
- HSREB Ethics Office
- HSREB Review Process
- Guiding Principles
- Important Considerations
- Informed Consent
- Risks
- Privacy and Confidentiality





- 1. General Research Ethics Board (GREB)
- 2. Health Sciences and Affiliated Teaching Hospitals Research Ethics Board (HSRFR)





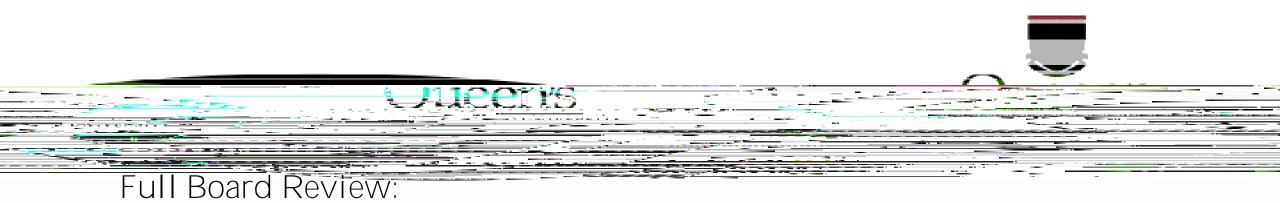
- Dr. Albert Clark
- Office Staff
 - Ethics Office Assistant Ms. Elizabeth Heinricks
 - Ethics Coordinator Ms. Kathy Reed
 - Ethics Compliance Advisor Ms. Jennifer Couture
 - Director of Research
- Ethics Compliance
- Dr. Andrew Winterborn







- TCPS2 (2014)— the latest edition of Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans
- Course on Research Ethics (CORE)
- Students are required to submit their CORE certificate
- Queen s policies (OCASP, Integrity of Research, Electronic Information Security Policy Framework, etc.)
- Canadian laws (e.g., HC, FIPPA, PHIPA, PIPEDA)
- USA laws, as applicable (e.g., US CFR, FDA)
- Industry standards
- Professional best practices



High risk research projects complete full application form Ethics submission deadline - two weeks in advance of the full board meeting date

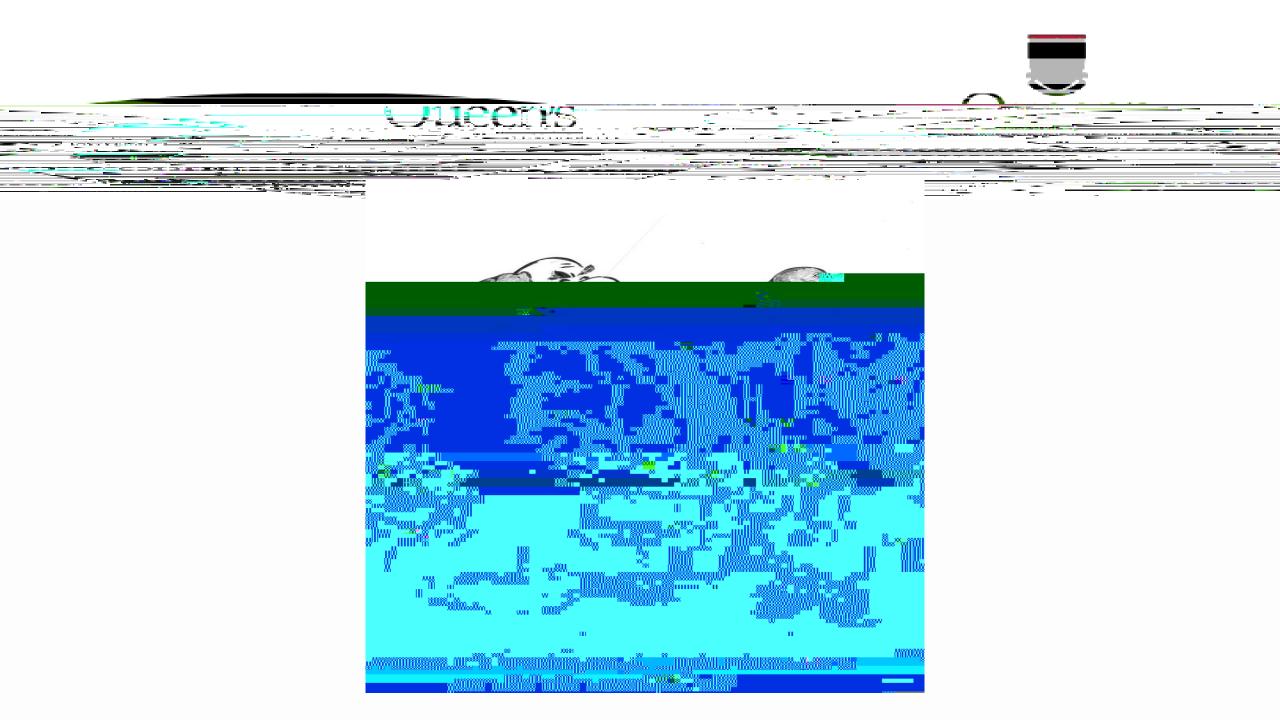
http://www.queensu.ca/urs/research-ethics

Delegated Review:

Minimal risk research

No submission deadline

Use short form for critical enquiry, chart reviews, questionnaires, and survey research



How will informed consent be obtained? Voluntary nature of consent No coercion Invitation to participate in a research study Explain what participants will be asked to do in plain language Outline time commitment Process for withdrawal and withdrawal of data





Appropriate letterhead

Approval required from the School of Medicine for data collection during class time (Theresa Suart/Dr. Tony Sanfilippo)

Required statement:

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All research poses some level of risk

Our responsibility is to ensure that the risks, whether social, physical, emotional, economic, or legal, have been adequately communicated to the participant(s)

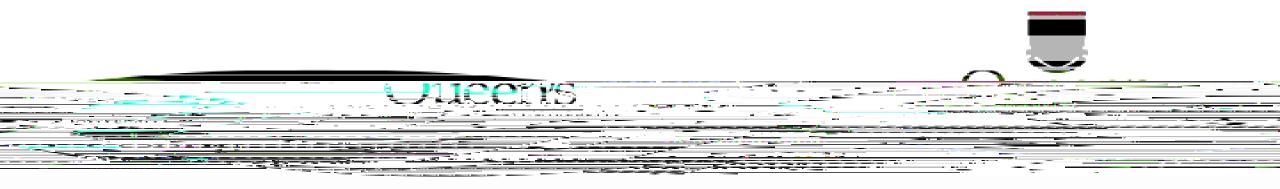
The researcher must have a plan to mitigate any risks

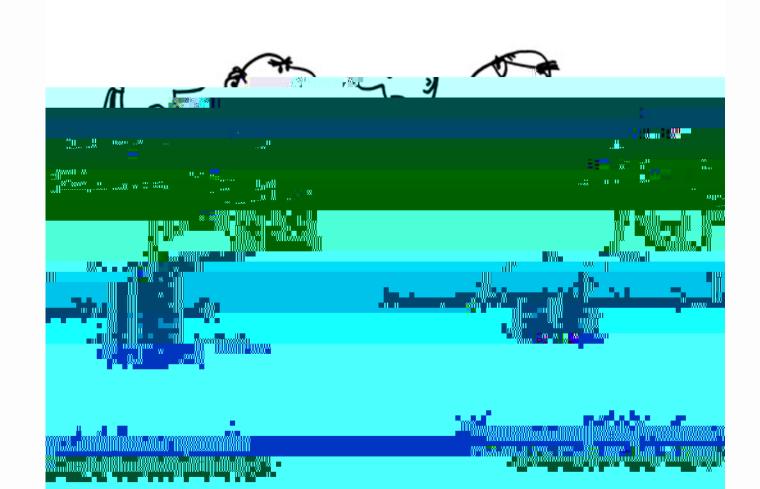
Risks must be outlined on consent form

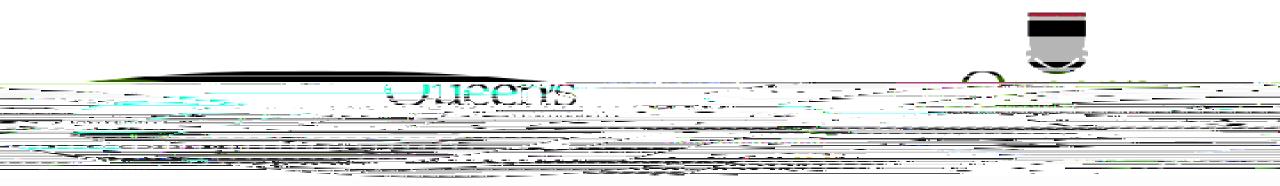


- 2. Supervisor Sign off (for all students)
- 3. Protocol
- 4. Letter of Information/Consent Form
- 5. Recruitment Materials
- 6. Questionnaires, surveys, etc.,
- 7. Sample interviews, scripts, etc.,
- 8. Debriefing materials



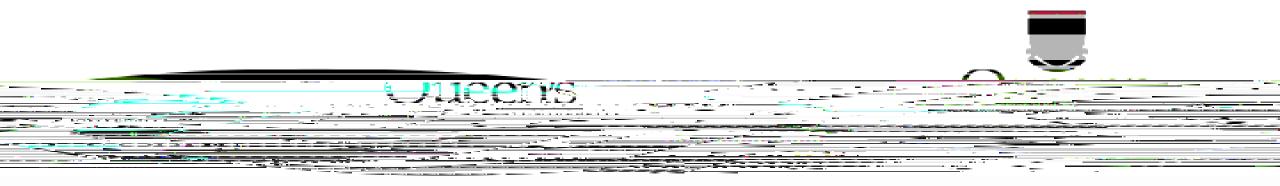


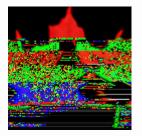


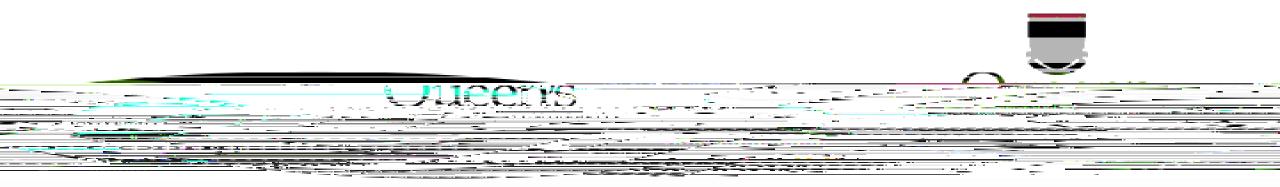




What should she do?

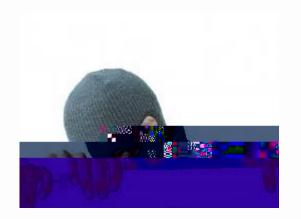


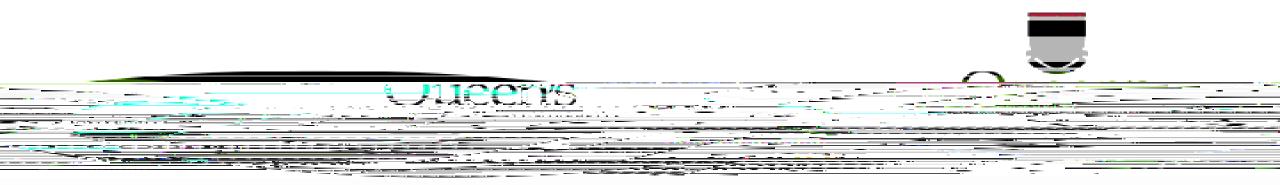




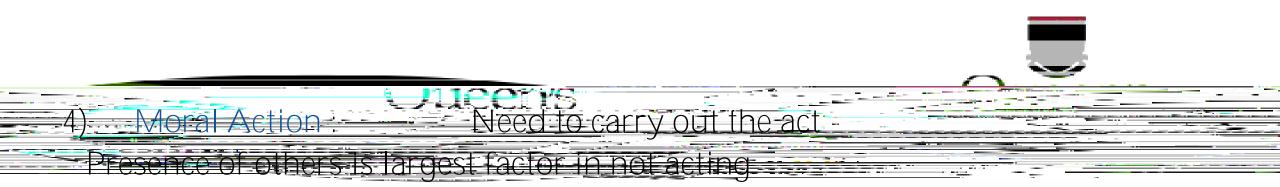




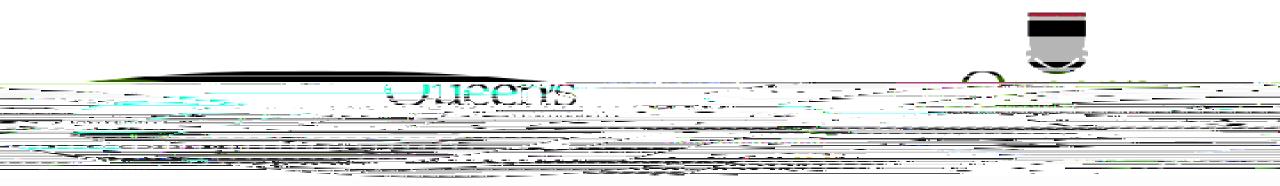








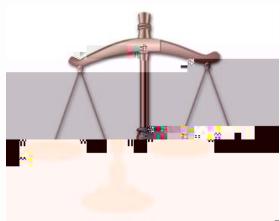
- 3) Moral Intention: Decide what to do or not to do Find the courage to act, possibly in face of peer pressure
- 2) Moral Judgement: Identify right/wrong or better/worse
 Degree of harm or benefit
 Likelihood of harm or benefit
 Peer pressure
- Moral Awareness: Feel something is wrong, have an emotional reaction Would your social group think it is wrong? How do you feel about the people affected?



Informal Discussion with Trained Advisors

No action needed under the Integrity Policy

- Yes Initial Review
- Initial Review
 - No Investigation needed based on further fact finding
 - Yes Investigation needed
- Investigation
 - No Finding of misconduct based on full investigation by Committee
 - Yes Finding of misconduct
- Post Investigation
 - If relevant, sanctions fall to the Provost
 - Post reporting to external agencies as required





Secretariat for the Responsible Conduct of Research Tri Agency body responsible for ethics and integrity in Canada

http://www.rcr.ethics.gc.ca/eng/

Office of Research Integrity https://ori.hhs.gov/

